

A Holistic View of Climate Sensitivity

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Keywords

climate sensitivity, climate modeling, forcing, feedback

Abstract

The notion of climate sensitivity has become synonymous with equilibrium climate sensitivity (ECS), or the equilibrium response of the Earth system to a doubling of CO₂. But there is a hierarchy of measures of climate sensitivity, which can be arranged in order of increasing complexity and societal relevance and which mirror the historical development of climate modeling. Elements of this hierarchy include the well-known ECS and transient climate response and the lesser-known transient climate response to cumulative emissions and zero emissions commitment. This article describes this hierarchy of climate sensitivities and associated modeling approaches. Key concepts reviewed along the way include climate forcing and feedback, ocean heat uptake, and the airborne fraction of cumulative emissions. We employ simplified theoretical models throughout to encapsulate well-understood aspects of these quantities and to highlight gaps in our understanding and areas for future progress.

- There is a hierarchy of measures of climate sensitivity, which exhibit a range of complexity and societal relevance.
- Equilibrium climate sensitivity is only one of these measures, and our understanding of it may have reached a plateau.
- The more complex measures introduce new quantities, such as ocean heat uptake coefficient and airborne fraction, which deserve increased attention.

1. INTRODUCTION

Climate sensitivity is a primary *raison d’être* of modern climate science, quantifying how global mean surface temperature responds to changes in carbon dioxide. Because many climate impacts scale with mean surface temperature [e.g., extreme temperature and precipitation (Seneviratne et al. 2016)], climate sensitivity encapsulates many aspects of the potential severity of global warming.

But formulating a precise definition of climate sensitivity raises difficult questions. Over what timescale should climate sensitivity be assessed? Should it be assessed in response to changes in CO₂ concentration, which is the variable most relevant for the greenhouse effect, or in response to CO₂ emissions, which is the quantity most directly influenced by human activity?

Climate science has responded by constructing several metrics of climate sensitivity, which are the subject of this review. We define them more precisely in Section 2, but briefly they are:

- **Equilibrium climate sensitivity (ECS):** The equilibrium temperature response to a doubling of atmospheric CO₂ concentrations.
- **Transient climate response (TCR):** The transient temperature response to a 1%/year increase in atmospheric CO₂, evaluated when the CO₂ concentration has doubled. The TCR includes the transient effects of ocean heat uptake and is smaller than ECS.
- **Transient climate response to cumulative emissions (TCRE):** The transient temperature response per unit of cumulative CO₂ emissions, usually quoted in Kelvin per gigatonne carbon (K/GtC). This metric accounts for transient carbon uptake by the land and ocean, as well as ocean heat uptake.
- **Zero emissions commitment (ZEC):** The change in temperature after emissions have been set to zero. ZEC is computed as the system approaches both thermal and chemical equilibrium, where the latter redistributes emitted carbon among the atmosphere, land, and ocean.

These metrics clearly span a spectrum of complexity, which also corresponds to their relevance. The TCRE and ZEC, which are the most complex metrics and account for the most processes, are also the most relevant and play a key role in international policy (Section 2.4). The metrics above are also interrelated, in that the more complex metrics are functions of the simpler ones (expressions in **Table 1**). A full understanding of climate sensitivity thus seems to require consideration of the entire hierarchy of metrics.

Table 1 A hierarchy of climate sensitivities

Metric (acronym)	Model	CO ₂	OHFC(x)	SST(x)	Expression	Units
Cess sensitivity	AGCM	P	–	P	$\lambda[\Delta\text{SST}(\mathbf{x})]$	W/m ² /K
Equilibrium climate sensitivity (ECS)	SOM	P	P	I	$\frac{\mathcal{F}_{2\times}}{ \lambda }$	K
Transient climate response (TCR)	AOGCM	P	I	I	$\frac{\mathcal{F}_{2\times}}{\gamma + \lambda }$	K
Transient climate response to cumulative emissions (TCRE)	ESM	I	I	I	$\frac{\alpha}{C_0}\text{TCR}$	K/GtC
Zero emissions commitment (ZEC)	ESM	I	I	I	$\frac{\alpha_f/\alpha_{ze}}{\text{TCR}/\text{ECS}} - 1$ (normalized)	K

The various metrics of climate sensitivity along with their corresponding model configurations, with complexity increasing downward. As one descends the hierarchy, important boundary conditions such as SST, OHFC, and CO₂ concentration transition from being prescribed (P) to interactive (I), with model complexity increasing accordingly. Various analytical expressions for the sensitivities, developed in later sections, are also provided. Note that although ZEC has units of K, the expression for ZEC (from Equation 30) is normalized and hence dimensionless. Abbreviations: AGCM, atmosphere-only global climate model; AOGCM, atmosphere-ocean global climate model; ESM, Earth system model; OHFC, ocean heat flux convergence; SOM, slab-ocean model; SST, sea-surface temperature.

Historically, however, attention has not been distributed evenly across this hierarchy but has instead been focused on ECS (Charney et al. 1979, Mitchell et al. 1990, Knutti & Hegerl 2008). There are several reasons for this, including the simplicity of the definition of ECS, its encapsulation of both CO₂ radiative forcing and the all-important feedback parameter (Section 1.1), and the ability of ECS to capture intermodel spread in warming under realistic scenarios (e.g., Raper et al. 2002, Grose et al. 2018). This attention to ECS has paid off in a rather detailed understanding of forcing and feedback (Sherwood et al. 2020).

But we may have reached a point of strongly diminishing returns in our quest to understand ECS. The long, multi-millennial equilibration timescale of modern global climate models (GCMs) makes ECS nontrivial to evaluate (Dunne et al. 2020b, Rugenstein et al. 2020, Rugenstein & Armour 2021). Furthermore, the so-called pattern effect tells us that even if we could accurately evaluate ECS, the associated feedback parameter may not be relevant for near-term global warming (Section 3.5). Finally, ECS is unobservable in both a present-day and paleoclimate context, as fixing CO₂ concentrations for millennia is an unrealistic future scenario, and ECS does not account for certain Earth system feedbacks such as ice sheet and vegetation changes, which operate on longer timescales (Lunt et al. 2010, Previdi et al. 2013).

Given these limitations of ECS, our aim in this article is to zoom out and consider the full slate of sensitivity metrics outlined above as a coherent whole. The range of complexity of these metrics mirrors that of our climate models, and indeed each metric has coevolved with our modeling capabilities. We survey the role each metric plays in our understanding of climate change and take stock of how well we understand the metrics themselves. We take a theoretical approach throughout, highlighting where simple models capture Earth system behavior and where they do not, thus pointing out strengths and weaknesses in our understanding.

This article is thus not a review in the standard sense; we seek to be neither comprehensive in our coverage of topics nor exhaustive in our references to the literature. We do summarize important recent developments, and attempt to identify ways forward. We focus our attention on models [sometimes even just Geophysical Fluid Dynamics Laboratory (GFDL) models] to present our experience and point of view, but this in no way discounts the essential value of observations or other modeling approaches.

1.1. The Forcing-Feedback Framework

Before discussing the various metrics of climate sensitivity in more detail, we must set the stage with the forcing-feedback framework for global warming. In addition to Earth's global mean surface temperature¹ T_s , another key variable is Earth's top-of-atmosphere (TOA) net radiation balance,

$$N \equiv S - \text{OLR} \quad (\text{net radiation, W/m}^2), \quad 1.$$

where S is the net downward solar (or shortwave) radiation at the TOA, accounting for Earth's planetary albedo (fraction of sunlight reflected), and OLR is Earth's outgoing longwave radiation or thermal infrared radiation emitted to space at TOA. N is positive when energy is accumulating in the system, and N equals zero in equilibrium (although see sidebar titled Equilibrium Versus Steady-State in Climate Science).

¹By surface temperature, we really mean near-surface air temperature, which can differ slightly from the land or ocean surface temperature (Cowtan et al. 2015). Also, in this article T_s typically denotes a surface temperature anomaly from a climatological mean, although in a few cases (such as Equation 12) it denotes absolute temperature; such exceptions should be clear from context.

GCM: global climate model

TOA: top-of-atmosphere

Longwave radiation: thermal infrared radiation

Shortwave radiation: solar radiation, including visible and near-infrared frequencies

OLR: outgoing longwave radiation

Albedo: fraction of sunlight reflected

EQUILIBRIUM VERSUS STEADY-STATE IN CLIMATE SCIENCE

In climate science, a state in which the TOA net radiation N is equal to zero is conventionally referred to as thermal equilibrium. Thermodynamically speaking, however, this is a misnomer: $N = 0$ actually describes a *steady-state* balance between incoming radiation from the Sun and outgoing thermal radiation to space. Earth is not in equilibrium with either body, the net energy fluxes between them do not vanish, and Earth instead attains a temperature in between them. This should be contrasted with the behavior of the carbon cycle in Earth system models, in which CO_2 concentrations do truly equilibrate: There are no external sources or sinks of carbon, and the net carbon fluxes between the land, ocean, and atmosphere do vanish. Nonetheless, the use of the term equilibrium to describe a thermal steady-state in climate is ubiquitous, so we adopt this terminology here.

The forcing-feedback framework says that global warming can be divided into two processes that change N in opposite ways. First, consider a change in atmospheric CO_2 (or any other radiatively active forcing agent) from an initial specific concentration q_i to a perturbed concentration q_f , with all other variables (including T_s) held fixed. This induces a change in N , which is by definition the radiative forcing \mathcal{F} :

$$\mathcal{F} \equiv N(q_f) - N(q_i) \quad (\text{W/m}^2). \quad 2.$$

The second process is that the surface temperature T_s (and other climate variables) will respond to the forcing, causing countervailing changes in N that eventually bring the system back to equilibrium ($N = 0$). This negative change in N per unit T_s , which is typically dominated by increasing thermal emission to space (OLR) but may also include changes in S due to albedo changes, is known as the feedback parameter:

$$\lambda \equiv \frac{dN}{dT_s} \quad (\text{W/m}^2/\text{K}, \lambda < 0). \quad 3.$$

Together, these perturbations yield a net energy imbalance of

$$N = \mathcal{F} + \lambda T_s. \quad 4.$$

This is the forcing-feedback decomposition of N .

Because $\lambda < 0$ in a stable climate, we often refer instead to the magnitude of the feedback parameter $|\lambda|$. The feedback parameter plays a key role, as a small value of $|\lambda|$ means that relatively large T_s perturbations are required to significantly impact N , making the climate system more sensitive to perturbations as it tries to restore equilibrium.

2. A HIERARCHY OF CLIMATE SENSITIVITIES

We now survey the various metrics of climate sensitivity in greater detail, discussing how they are defined and computed and illustrating with simulations (**Figure 1**). As a guide, we organize these metrics vertically into a hierarchy in **Table 1**, with the most primitive measures of climate sensitivity at the top and more sophisticated measures that account for more processes toward the bottom. Accordingly, **Table 1** also includes a hierarchy of climate model configurations of varying complexity that exhibit a natural correspondence with the hierarchy of climate sensitivities. These model configurations are distinguished by whether important boundary conditions such as sea-surface temperature (SST), ocean heat flux convergence, and CO_2 concentration are externally prescribed or interactively simulated (predicted) by the model. This correspondence is discussed in more detail for each individual metric.

SST: sea-surface temperature

Illustration of climate sensitivity metrics: ECS, TCR, TCRE, and ZEC

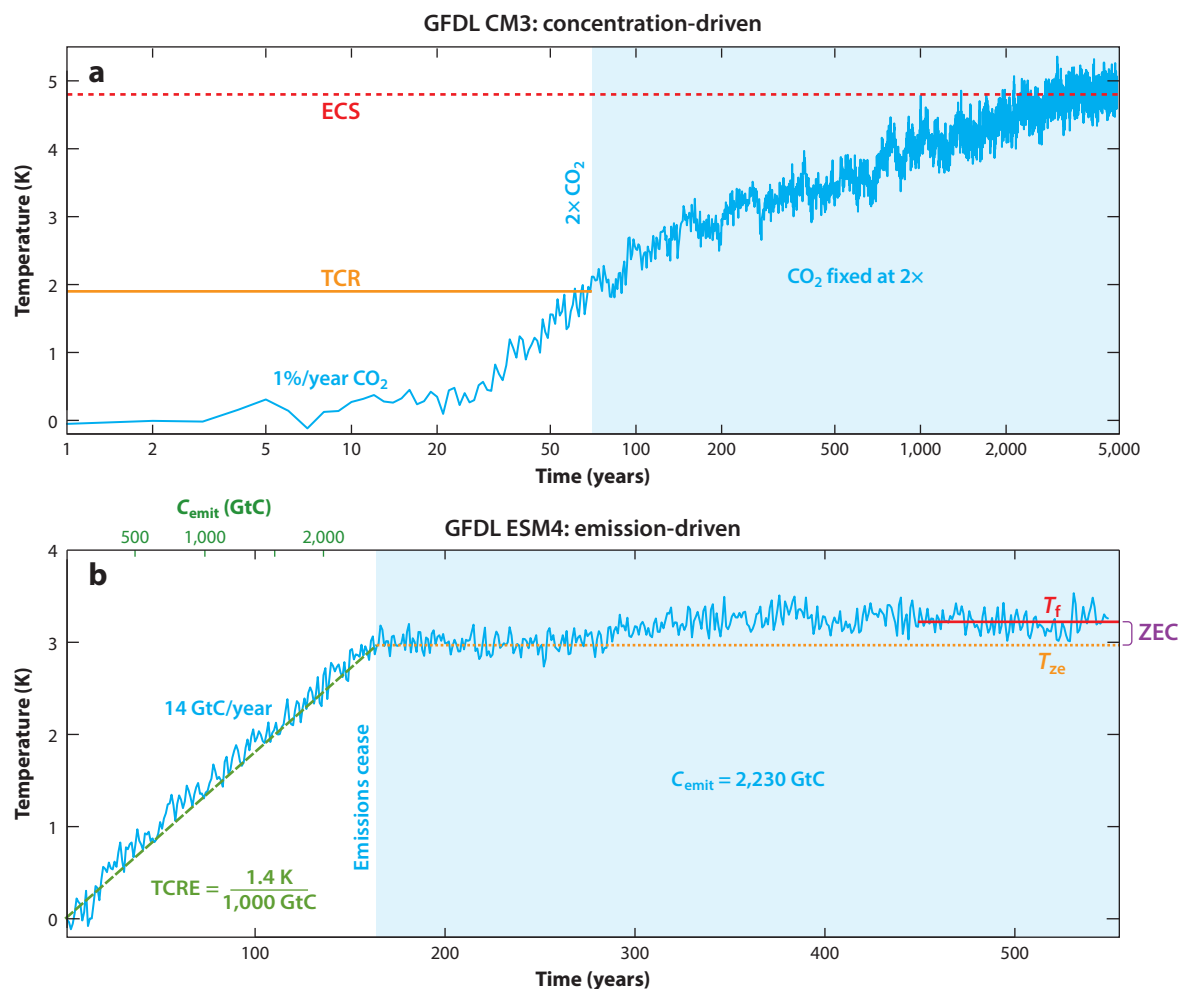


Figure 1

(a) Global mean surface temperature T_s versus time for a multi-millennial atmosphere-ocean GCM simulation using GFDL CM3 (Donner et al. 2011, Paynter et al. 2018). CO₂ concentrations increase at 1%/year until doubling at year 70 and are held fixed thereafter. TCR is the warming at year 70, and ECS is the equilibrium warming after several millennia. Note the log time axis. (b) T_s versus time for an Earth system simulation with an active carbon cycle using GFDL ESM4. Constant emissions of roughly 14 GtC/year are prescribed until roughly 3°C of warming, at which point emissions cease. The TCRE is the slope of T_s versus cumulative emissions C_{emit} (green axis, upper left) while emissions are nonzero, and ZEC is the difference between the final warming T_f and the warming when emissions are zeroed T_{ze} . Abbreviations: ECS, equilibrium climate sensitivity; GFDL, Geophysical Fluid Dynamics Laboratory; GtC, gigatons carbon; TCR, transient climate response; TCRE, transient climate response to cumulative emissions; ZEC, zero emissions commitment.

2.1. Cess Sensitivity

Perhaps the most primitive notion of climate sensitivity is the Cess sensitivity, which is an estimate of λ obtained from atmosphere-only GCMs (AGCMs) operating over prescribed SSTs.²

AGCM:
atmosphere-only
GCM

²Land temperatures are determined interactively but largely follow the prescribed SSTs, e.g., https://www.gfdl.noaa.gov/blog_held/11-is-continental-warming-a-slave-to-warming-of-the-ocean-surface/.

Cess sensitivity is computed by running an AGCM simulation over a present-day or preindustrial SST distribution $SST(\mathbf{x})$ and then running a second perturbation simulation over $SST(\mathbf{x}) + \Delta SST$, where ΔSST is typically a uniform perturbation of 2 or 4 K. The resulting TOA radiation perturbation ΔN divided by ΔSST yields an estimate of λ via Equation 3.

Because λ exerts a strong control on virtually every measure of climate sensitivity, including and especially ECS (Equation 6), it is of interest in model development to assess how λ differs between models and model versions. The Cess sensitivity has been useful in this regard in the past (Cess et al. 1989, Gettelman et al. 2012, Zhao et al. 2018).

However, the utility of the Cess sensitivity has diminished in recent years due to the pattern effect. As mentioned above and discussed further in Section 3.5, the pattern effect is the observation that λ does not take on a single well-defined value but instead depends on the spatial pattern of warming (Armour et al. 2013, Andrews et al. 2015, Gregory & Andrews 2016). In other words, if $\Delta SST(\mathbf{x})$ is a spatially varying SST perturbation due to global warming, then λ has a functional dependence on $\Delta SST(\mathbf{x})$, i.e.,

$$\lambda = \lambda[\Delta SST(\mathbf{x})] \quad (\text{pattern effect}). \quad 5.$$

Thus, while the Cess sensitivity remains a useful benchmark for comparing atmospheric models, it is unclear how a Cess sensitivity or λ calculated from a uniform SST increase might be related to the Cess sensitivity calculated from a more realistic warming pattern. There are also significant questions about what constitutes a realistic or relevant warming pattern, and on what timescale; this is discussed further in Section 3.5.

2.2. Equilibrium Climate Sensitivity

The next metric in **Table 1** is ECS, introduced in Section 1 as the equilibrium warming due to a doubling of CO_2 concentrations. ECS is conceptually the simplest sensitivity metric and has been a focus of climate science for decades. ECS was the subject of early calculations with 1D atmospheric models (Arrhenius 1896, Hulburt 1931, Callendar 1938, Plass 1956), culminating in the seminal work of Manabe & Wetherald (1967) who identified the necessary physical ingredients for a credible estimate of ECS (Jeevanjee et al. 2022). ECS has also been a mainstay of GCM intercomparisons and government assessment reports, beginning with the Charney report (Charney et al. 1979) and continuing on through many generations of assessments by the Intergovernmental Panel on Climate Change (IPCC) (e.g., Mitchell et al. 1990, Randall et al. 2007, Forster et al. 2021).

Throughout these reports, ECS has consistently been assessed at roughly $3^\circ C$, but with a relatively large likely range (66–100% probability) of roughly 1.5 – $4.5^\circ C$ (Meehl et al. 2020). A major goal of climate science has been to reduce this uncertainty (Stevens et al. 2016), and recent years have in fact shown significant progress, with the likely range shrinking to roughly 2.6 – $4^\circ C$ in the latest assessments (Sherwood et al. 2020, Forster et al. 2021).

A key ingredient in this achievement is the forcing-feedback framework of Section 1.1. Equilibrium requires that $N = 0$, i.e., that the increased radiation to space $|\lambda|T_s$ in Equation 4 balances the forcing \mathcal{F} . In the case of a doubling of CO_2 , we write the forcing as $\mathcal{F}_{2\times}$ and Equation 4 then implies

$$ECS = \frac{\mathcal{F}_{2\times}}{|\lambda|}. \quad 6.$$

This forcing-feedback decomposition of ECS shows that ECS captures two of the most important determinants of climate change, \mathcal{F} and λ , and conversely has allowed us to constrain ECS by constraining \mathcal{F} and λ individually.

The calculation of ECS has a tortuous history. The first global calculations of ECS were performed in slab-ocean models (SOMs), or AGCMs coupled to shallow, motionless water columns that mimic the mixed layer of the real ocean and are thus able to interactively simulate SST(x) (Manabe & Stouffer 1980, Hansen et al. 1984). The horizontal transport of heat by ocean currents is represented by an externally prescribed ocean heat flux convergence OHFC(x) (units of W/m^2) (e.g., McFarlane et al. 1992). ECS can be straightforwardly computed in SOMs by doubling CO_2 and running the model to a new equilibrium, typically achieved within 30 years. As such, SOMs are a natural tool for calculating ECS, as suggested by **Table 1**. Indeed, even after the advent of fully coupled atmosphere-ocean GCMs (AOGCMs or coupled models) with a full-depth dynamical ocean, ECS was still calculated with SOMs (Meehl et al. 2005) because coupled models require millennia to equilibrate. This is illustrated in **Figure 1a** for GFDL's CM3 coupled model, which equilibrates after roughly 4,000 years.

In time, however, a practical workaround for calculating ECS from unequilibrated AOGCM simulations was developed by regressing TOA radiation imbalance N against surface temperature T_s and then extrapolating to equilibrium at $N = 0$ (Gregory et al. 2004, Danabasoglu & Gent 2009). This methodology became standard practice, using 150-year coupled simulations in which CO_2 was abruptly quadrupled and then held constant (abrupt4x simulations) (Taylor et al. 2012, Eyring et al. 2016).³ But the pattern effect fundamentally complicates this method: The surface warming pattern and hence the feedback parameter can evolve with time (Section 3.5; **Figure 2**), so extrapolation is unreliable and tends to underestimate ECS (Dunne et al. 2020b, Rugenstein et al. 2020). Indeed, the ECS for CM3 found via a standard extrapolation method is $4^\circ C$ (Andrews et al. 2012b), a roughly 20% underestimate of the model's true ECS of $4.8^\circ C$ (**Figure 1a**).

To resolve this tension, the regression-based ECS estimate has become known as the effective climate sensitivity, which is distinct from true, fully equilibrated ECS but can be viewed as a practical proxy for it (Rugenstein & Armour 2021). Indeed, the comprehensive assessment of Sherwood et al. (2020) is actually an assessment of effective climate sensitivity, not true ECS, allowing that work to leverage the widely performed and archived abrupt4x simulations. This approach has led to much progress and tighter constraints on ECS, as noted above and discussed further in Section 3. But the contortions required to study ECS in coupled models suggest there may be limits to this progress, and **Table 1** suggests that ECS is perhaps most naturally studied in SOMs. We comment further on this point in Section 7.

2.3. Transient Climate Response

The next rung in the climate sensitivity hierarchy is the TCR, a measure of the sensitivity of surface temperature to an increase in CO_2 concentrations over multi-decadal timescales, during which the interior ocean is far from equilibrium and hence so is T_s . Such transient warming was initially studied using 1D diffusive or upwelling-diffusion models of ocean heat uptake (e.g., Hoffert et al. 1980, Wigley & Schlesinger 1985). However, the precise definition of TCR was not formulated until the advent of transient AOGCM simulations in which it became conventional to gradually increase CO_2 concentrations by 1%/year (Washington & Meehl 1989, Manabe et al. 1991). TCR was then defined as the temperature anomaly averaged around year 70 of such a 1pctCO2 simulation, at which point CO_2 has doubled.⁴

SOM: slab-ocean model

Mixed layer: the well-mixed upper layer of the ocean, typically 50–100 m in depth

OHFC: ocean heat flux convergence; the rate at which ocean currents converge heat into a column of ocean (in W/m^2)

AOGCM: coupled atmosphere-ocean GCM

Abupt4x: coupled simulations in which CO_2 concentrations are abruptly quadrupled and then held fixed

1pctCO2: coupled simulations for probing transient climate change in which CO_2 concentrations are gradually increased at 1% per year

³To improve the signal-to-noise ratio, CO_2 is often quadrupled rather than doubled, and the resulting temperature perturbation is divided by 2 to obtain ECS.

⁴This follows from the rule of 70, i.e., the time to doubling is $t = \frac{\ln 2}{\text{fractional growth rate}} \approx \frac{70}{\text{percentage growth rate}}$.

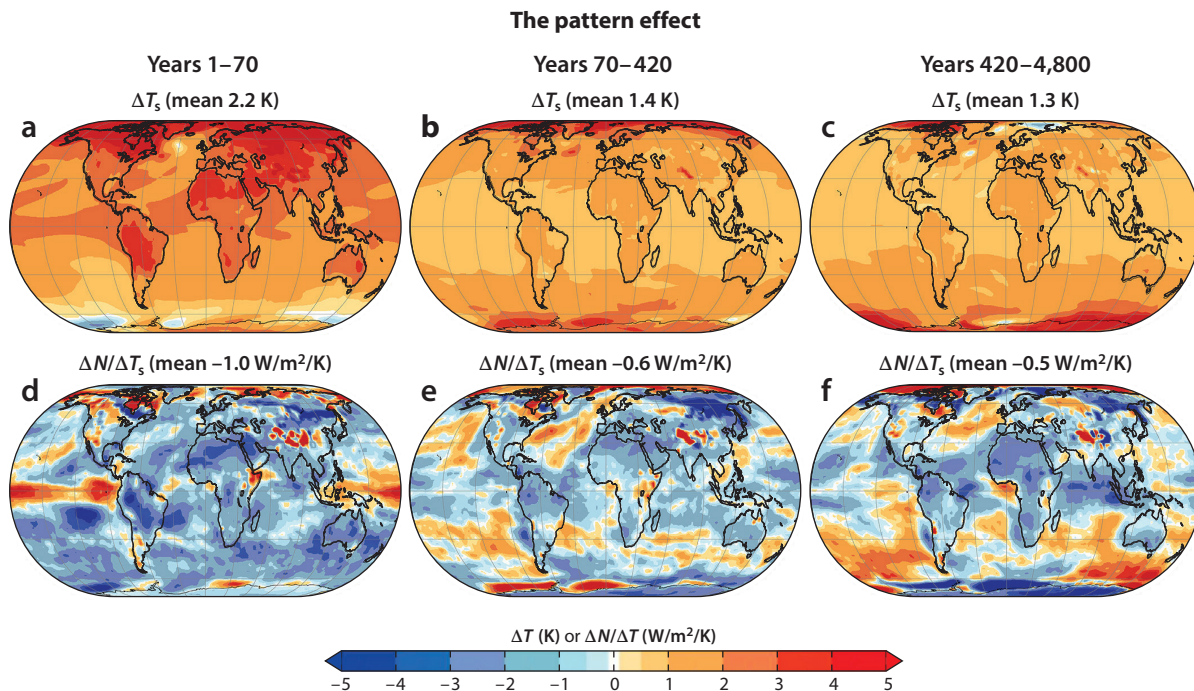


Figure 2

Maps of surface temperature change ΔT_s (a–c) and local feedback $\Delta N/\Delta T_s$ (d–f) for the CM3 simulation shown in **Figure 1a**, where the changes are evaluated across the time periods specified at the top of each column. Global mean values for each field are in parentheses. Years 1–70 are the period of increasing CO₂, after which CO₂ is fixed at 2X preindustrial. The local feedback $\Delta N/\Delta T_s$ for a given period is calculated as local $\Delta N(\mathbf{x})$ divided by global mean ΔT_s , and its global mean gives the corresponding feedback parameter λ . Between the first and last period, the spatial pattern of warming shifts dramatically, and the corresponding λ changes by a factor of 2. Figure adapted from Paynter et al. (2018), figure 4.

The definition of TCR, and its relationship to ECS, is illustrated by the CM3 coupled model simulation shown in **Figure 1a**, in which CO₂ concentrations are increased by 1%/year until year 70 and then held fixed for several millennia to allow for full equilibration. Such a scenario facilitates the calculation of TCR as well as true ECS from a single simulation. The TCR here is 1.9°C, close to the IPCC best estimate of $1.8 \pm 0.4^\circ\text{C}$ (likely range) (Forster et al. 2021).

As can be seen from the figure, in CM3 the TCR is slightly less than half of ECS, a typical result.⁵ This is largely due to heat uptake by the deep subsurface ocean, which has an extremely large heat capacity, providing a significant heat sink for the surface mixed layer while it slowly equilibrates. These dynamics can be modeled rather simply with the two-box model of the ocean, discussed in Section 4. There it is argued that on the multi-decadal timescales relevant for TCR, the radiation imbalance is equal to the deep ocean heat uptake and can be written as

$$N = \gamma T_s, \quad 7.$$

⁵The ratio of TCR to ECS, also known as the realized warming, does vary among models, however, and is an important indicator of the thermal disequilibrium between the surface and interior ocean (Stouffer & Manabe 1999, Raper et al. 2002).

where γ is a constant known as the ocean heat uptake coefficient;⁶ plugging this into Equation 4 and solving for T_s yields an expression for TCR:

$$\text{TCR} = \frac{\mathcal{F}_{2\times}}{\gamma + |\lambda|}. \quad 8.$$

ESM: Earth system model

Comparing Equation 8 to Equation 6 confirms that $\text{TCR} < \text{ECS}$ due to ocean heat uptake. We discuss applications of Equation 8 in Section 4. It should be noted that heat uptake coefficient γ has been much less studied than the feedback parameter λ ; we comment further on this in Section 7.

2.4. Transient Climate Response to Cumulative Emissions and Zero Emissions Commitment

The final rungs in the climate sensitivity hierarchy of **Table 1** are the TCRE and ZEC. These quantities are defined in the context of Earth system model (ESM) simulations, which feature an interactive carbon cycle and hence explicitly simulate the exchange of carbon (and other biogeochemical quantities) between the land, atmosphere, and ocean. Because atmospheric CO_2 concentrations are typically interactive in ESMs rather than prescribed (**Table 1**), such models can then be used to simulate the climate response to CO_2 emissions rather than just the response to concentrations. Experiments with ESMs over the past 15 years or so have led to two striking findings that now form the basis for climate mitigation policy.

The first such finding is that global warming is proportional to cumulative emissions (e.g., Allen et al. 2009, Matthews et al. 2009, MacDougall 2016). This is illustrated in **Figure 1b**, which shows an idealized simulation with GFDL's ESM4.1 (Dunne et al. 2020a) featuring constant emissions E of roughly 14 GtC/year until 3°C of warming is reached, at which point emissions cease ($E = 0$). During the constant emissions phase the surface temperature T_s increases roughly linearly with time t , and because the cumulative emissions $C_{\text{emit}}(t) \equiv \int_0^t E(t')dt = Et$ are also linear in time (green axis in upper left of **Figure 1b**), we indeed have

$$T_s \approx \Lambda C_{\text{emit}}, \quad 9.$$

where the proportionality constant Λ is by definition the TCRE. The TCRE for GFDL's ESM4 is $\Lambda \approx 1.4$ Kelvin per thousand gigatonnes carbon (K/1,000 GtC) (slope of green line in the left of **Figure 1b**).

Like ECS and TCR, the precise value of TCRE is uncertain; it varies among models, with an IPCC best estimate of $1.65 \pm 0.65^\circ\text{C}$ (likely range) (Canadell et al. 2021). For a given model, however, the proportionality (Equation 9) is rather robust over time and across emissions scenarios, with a fixed value of Λ (Matthews et al. 2009, Gillett et al. 2013, Herrington & Zickfeld 2014). The physics underlying this are reviewed in Section 6, but for the moment we emphasize that this robust proportionality of temperature to cumulative emissions has had major implications for policy. Most profoundly, it implies that net zero emissions are required to halt global warming: Because $E = dC_{\text{emit}}/dt$, $E = 0$ is required to stabilize T_s .⁷ Furthermore, Equation 9 implies that any temperature target (e.g., 1.5 or 2°C) should be associated with a cumulative emissions target, rather than a target CO_2 concentration or emissions rate (Allen et al. 2009, Matthews et al. 2012). This then implies a remaining carbon budget for a given temperature target, and indeed such a framework is now the norm for climate mitigation (Rogelj et al. 2019, Matthews et al. 2020). For

⁶This parameter is also often denoted with a κ (Raper et al. 2002, Kuhlbrodt & Gregory 2012).

⁷It must be noted, however, that some climate impacts (such as sea level rise and ocean acidification) do not scale with T_s and continue to increase even after emissions cease (e.g., Gillett et al. 2011).

SYSTEM LINEARITY

A system responds linearly to forcing if its response to a sum of forcings equals the sum of the responses to the individual forcings. That is, if $X_i(t)$ is the response of a state variable X to forcing $F_i(t)$, then linearity means that the response to $\sum_i F_i(t)$ is given by $\sum_i X_i(t)$. In this case, one may obtain the response to an arbitrary forcing by integrating that forcing against a Green's function (the response to a delta function forcing), as in Equation 11.

One explicit example of a linear system is the two-box model in Equation 17; in this case, system linearity manifests as the dependent variables occurring only linearly in the differential equations. Note that system linearity does not necessarily imply, nor is it implied by, a linear relationship between system variables. For further discussion in the context of climate sensitivity, see Gregory et al. (2015).

more on the connection between TCRE and the net zero paradigm for climate stabilization, see the comprehensive review of Allen et al. (2022).

The second remarkable and policy-relevant finding from ESMs, also apparent in **Figure 1b**, is that temperatures roughly stabilize after CO₂ emissions cease. The change in temperature after emissions cease is defined to be the ZEC, and the finding is thus that

$$\text{ZEC} \approx 0 \quad 10.$$

(Matthews & Caldeira 2008, Solomon et al. 2009, MacDougall et al. 2020). We calculate ZEC for the simulation in **Figure 1b** as the difference between the final warming T_f (i.e., T averaged over the past 100 years of the simulation) and the temperature at zero emissions T_{ze} . This yields a ZEC for this scenario of roughly 0.2°C, which when normalized by T_{ze} is less than 0.1 and thus consistent with Equation 10.

Like the TCRE, ZEC varies across models but is typically small comparable to T_{ze} and quite close to zero when averaged across models, with an assessed value of $\text{ZEC} = 0 \pm 0.19^\circ\text{C}$ (likely range) (MacDougall et al. 2020, Canadell et al. 2021).⁸ A near-zero ZEC is critical for the net zero and carbon budget paradigms, as a significantly nonzero ZEC would have to be accounted for in addition to C_{emit} in aiming to keep global warming below a given threshold (Rogelj et al. 2019). For a more detailed review of ZEC and its policy implications, see the review of Palazzo Corner et al. (2023).

What is the relationship between zero ZEC and constant TCRE? If surface temperature responds linearly to emissions (see the sidebar titled System Linearity), then these two phenomena are actually equivalent. To see this, we first note that Equation 10 holds for a variety of emissions profiles, including scenarios where an instantaneous pulse of carbon is emitted into the atmosphere (Matthews & Caldeira 2008, Matthews et al. 2009). We thus may approximate the temperature response to a unit emissions pulse as a step function $\Lambda \mathcal{H}(t)$, where $\mathcal{H}(t)$ is the unit step function. The response to an arbitrary emissions time series $E(t')$ can then be obtained by integrating $E(t')$ against this impulse response, i.e.,

$$T(t) = \int_0^t E(t') \Lambda \mathcal{H}(t - t') dt' = \Lambda C_{\text{emit}}(t), \quad 11.$$

reproducing Equation 9. The validity of this argument and the mechanisms behind ZEC and constant TCRE are discussed further in Section 6.

⁸This value of ZEC is assessed 50 years after emissions cease, for a scenario with final $C_{\text{emit}} = 1,000$ GtC (MacDougall et al. 2020). For an analysis of ZEC on equilibrium timescales, see Tarshish et al. (2023). Also note that as in the IPCC AR6, we focus on the ZEC resulting from CO₂ forcing only; the behavior of ZEC in the presence of additional forcing agents can differ somewhat (Tarshish et al. 2023).

3. NEW PERSPECTIVES ON FORCING AND FEEDBACK

Having now surveyed the climate sensitivity hierarchy of **Table 1**, we spend the remainder of this article focusing on more specific topics related to each climate sensitivity measure. For Cess sensitivity and ECS, the key quantities are forcing and feedback, which we discuss in this section. Sherwood et al. (2020) provide a comprehensive review of those topics; here, we focus on a handful of recent developments, including in particular new analytical models for describing forcing and feedback in clear skies (Jeevanjee 2023, Koll et al. 2023, Stevens & Kluft 2023). We also discuss recent progress in assessing cloud feedbacks and the role these play in the pattern effect.

3.1. Forcing

The concept of radiative forcing has evolved considerably over time (Ramaswamy et al. 2019). The radiative forcing described in Equation 2 is instantaneous radiative forcing (IRF), obtained as the change in N when CO_2 (or other forcing agent; see the sidebar titled Non- CO_2 Forcing Agents) concentrations are changed and all other climate variables (surface and atmospheric temperatures, humidity, cloudiness, etc.) are held fixed. In the case of CO_2 forcing, it was found that the stratosphere cools in response to increased CO_2 (Manabe & Wetherald 1967, 1975), quickly and independently of any changes in T_s . This stratospheric adjustment then modifies the forcing felt by the tightly coupled surface-troposphere system (Houghton et al. 1994, Hansen et al. 1997). Subsequently it was understood that other elements of the climate system, especially clouds, also respond directly to changes in forcing agent concentrations independently of changes in T_s , and these other rapid adjustments also modify the forcing (Sherwood et al. 2015). This led to the concept of effective radiative forcing (ERF), which includes all these adjustments and is typically calculated by running fixed-SST AGCM experiments, changing the CO_2 (or other forcing agent) concentration, and calculating the corresponding change in N (Hansen et al. 2005). For CO_2 the ERF is similar to the stratosphere-adjusted forcing, with a longtime estimate of roughly $\mathcal{F}_{2\times} \approx 4 \text{ W/m}^2$ from benchmark calculations (Charney et al. 1979, Houghton et al. 1994, Sherwood et al. 2020).

In addition to longstanding confidence in the magnitude of $\mathcal{F}_{2\times}$, there has been recent progress in our understanding of CO_2 forcing, driven largely by a simple analytical model for clear-sky CO_2 IRF (Wilson & Gea-Banacloche 2012):

$$\mathcal{F} = 2l \ln \left(\frac{q_t}{q_i} \right) [\pi B(\nu_0, T_s) - \pi B(\nu_0, T_{\text{strat}})]. \quad 12.$$

Here $l = 10.2 \text{ cm}^{-1}$ is a spectroscopic constant, B is the Planck distribution in wavenumber space (wavenumber ν is defined as inverse wavelength, with unit cm^{-1}), $\nu_0 = 667.5 \text{ cm}^{-1}$ is the wavenumber at CO_2 band center, and T_{strat} is the emission temperature for wavenumbers near ν_0 , which emit from the stratosphere. For a pedagogical derivation and discussion of Equation 12, see Jeevanjee (2023). Note that Equation 12 applies only in the absence of water vapor but has been generalized to account for spectral overlap between H_2O and CO_2 (Jeevanjee et al. 2021b).

Equation 12 has found several applications. Jeevanjee et al. (2021b) used it to explain the meridional gradient in CO_2 forcing. Seeley (2018) and later Romps et al. (2022) used it to establish the origin of the logarithmic scaling of CO_2 forcing, evident in the $\ln(q_t/q_i)$ factor in Equation 12. He et al. (2023) showed that the T_s and T_{strat} dependence in Equation 12 implies a nonnegligible state dependence of \mathcal{F} , which also explains a significant amount of intermodel spread in $\mathcal{F}_{2\times}$. Wordsworth et al. (2024) deepened our understanding of Equation 12 by deriving the value of the spectroscopic constant l from quantum-mechanical first principles.

As noted above, however, Equation 12 is only an expression for clear-sky CO_2 IRF. An extension to the all-sky case is clearly desirable, as is a deeper understanding of the stratospheric adjustment.

IRF: instantaneous radiative forcing, excludes rapid adjustments

Rapid adjustment: change in an element of the climate system, which impacts N but is not due to changes in T_s

ERF: effective radiative forcing, includes rapid adjustments

NON-CO₂ FORCING AGENTS

Although CO₂ is the primary driver of present-day global warming, many other forcing agents contribute significantly to present-day and historical forcing. Most notable among these are other greenhouse gases such as methane, as well as aerosols such as sulfates, which provide a net negative forcing (Smith et al. 2020, Forster et al. 2021, Szopa et al. 2021).

The forcing-feedback framework assumes that all forcing agents give rise to the same global mean T_s response per unit forcing. But given that some forcing agents such as aerosols are so short-lived that they are not well-mixed by the atmosphere, this is by no means obvious. This question has led to the notion of forcing efficacy, which measures the T_s response to forcing from a given agent relative to that from an equal forcing from CO₂ (Hansen et al. 2005). Recent research suggests that models do on average exhibit forcing efficacies close to unity for most forcing agents, but for aerosols some models can exhibit nonunit efficacies (Richardson et al. 2019, Myhre et al. 2024).

Note that aerosol forcing consists of two components: the direct effect of scattering and absorption by the aerosols themselves and the indirect effect of aerosols on clouds as many aerosols serve as cloud condensation nuclei. In particular, the aerosol indirect effect is not well-understood, leading to uncertainties in present-day aerosol forcing that hamper efforts to constrain sensitivity metrics from present-day warming (Forster 2016, Bellouin et al. 2020).

The latter could lead to a model for CO₂ ERF, although other adjustments also exist, particularly cloud adjustments (Andrews et al. 2012a, Kamae et al. 2015).

3.2. The Planck Response and Its Drawbacks

We now turn to the feedback parameter λ . Again, Sherwood et al. (2020) provide a comprehensive resource, basing their approach on the conventional decomposition of λ into the Planck response as well as water vapor, lapse rate, surface albedo, and cloud feedbacks, with detailed assessments of each (see also the comprehensive review of the water vapor and lapse rate feedbacks in Colman & Soden 2021). In this approach, the Planck response, defined as the change in N due to a uniform warming of the surface and atmosphere while holding specific humidity fixed, is the reference response or null hypothesis for the climate response to warming, relative to which all other feedbacks are evaluated (Roe 2009). This conventional Planck feedback can be estimated by differentiating the Stefan–Boltzmann law with respect to a global average emission temperature T_{em} satisfying $\text{OLR} = \sigma T_{\text{em}}^4$, yielding

$$\lambda_{\text{reference}} = \lambda_{\text{planck}} \approx -4\sigma T_{\text{em}}^3 \quad 13.$$

(Cronin & Dutta 2023). But the assumption of fixed specific (rather than relative) humidity in the Planck response is unphysical and leads to spurious intermodel spread, correlations, and cancellations among the other feedbacks (Held & Shell 2012, Caldwell et al. 2016, Jeevanjee et al. 2021a). Assuming fixed relative humidity (RH) instead largely ameliorates these issues (Held & Shell 2012, Ingram 2013), but this fixed RH Planck response still assumes that high clouds warm in response to surface warming, contradicting the theoretically and observationally supported fixed anvil temperature hypothesis (discussed below). These drawbacks have motivated an emerging, complementary approach that focuses on the longwave clear-sky (LWCS) feedback (defined in the next section) rather than the Planck response as the basis for feedback analysis.

Planck response: change in N due to uniform warming of surface and atmosphere

LWCS: longwave clear-sky

3.3. The Longwave Clear-Sky Feedback

Analogous to Equation 3, the LWCS feedback is defined as the negative change in clear-sky OLR with surface temperature:

$$\lambda_{\text{LWCS}} \equiv -\frac{d\text{OLR}_{\text{cs}}}{dT_s}. \quad 14.$$

The clear-sky OLR is the OLR one would observe in the absence of clouds and can be computed in models as well as estimated from satellite observations, as referenced below.

By definition λ_{LWCS} excludes all effects from clouds but includes the effects from changes in water vapor and atmospheric temperature that conventionally have been encapsulated in the Planck, water vapor, and lapse rate feedbacks. Despite some uncertainty in these latter feedbacks, however, λ_{LWCS} itself is remarkably robust: Recent work has consistently found values of $\lambda_{\text{LWCS}} \approx 2 \text{ W/m}^2/\text{K}$, with an uncertainty of roughly 10% or so, across a hierarchy of models and observations (e.g., Koll & Cronin 2018, Zhang et al. 2020, Roemer et al. 2023). Such robustness suggests a relatively simple underlying mechanism, discussed below. That λ_{LWCS} is reasonably well-constrained and understood also makes it an apt starting point for feedback analyses, while also avoiding the drawbacks of the conventional Planck/lapse rate/water vapor decomposition.

The single-column model of Koll & Cronin (2018) suggested that λ_{LWCS} originates physically from the increase in surface radiation escaping to space through the transparent water vapor infrared window, consisting of wavenumbers between roughly 800 and 1,200 cm^{-1} . The rest of the LW spectrum is dominated by atmospheric emission from H_2O , which turns out to be fairly insensitive to T_s , a fact sometimes referred to as Simpson's law (Ingram 2010, Koll & Cronin 2018, Jeevanjee et al. 2021a). Indeed, simply integrating the derivative of the Planck function across the water vapor window reproduces the expected value:

$$\lambda_{\text{LWCS}} \approx -\int_{800 \text{ cm}^{-1}}^{1200 \text{ cm}^{-1}} \pi \frac{\partial B(\nu, T_s)}{\partial T_s} d\nu \approx -2 \text{ W/m}^2/\text{K} \quad 15.$$

(Jeevanjee 2023, Stevens & Kluft 2023). Of course, this estimate is crude and more detailed analyses show that this surface feedback term makes up about two-thirds of λ_{LWCS} , with the remainder emanating from the atmosphere (Feng et al. 2023, Koll et al. 2023). Nevertheless, Equation 15 remains a useful heuristic for understanding λ_{LWCS} .

3.4. Cloud Feedbacks

In contrast to the robustness of the LWCS feedback, cloud feedbacks have long exhibited a large spread among GCMs, where even the sign of the net cloud feedback was long unknown (Zelinka et al. 2017). This has made cloud feedbacks an active area of research, and we highlight only a few salient points here. For thorough reviews, see Ceppi et al. (2017) and Sherwood et al. (2020) and the recent textbook by Siebesma et al. (2020).

Happily, recent years have seen a significant narrowing of the uncertainty in cloud feedbacks, due largely to the identification of large-scale cloud-controlling factors (such as local SST and atmospheric inversion strength) as independent variables for cloud radiative effects (Klein et al. 2017). Methodologies based on these variables have reduced uncertainty and increased confidence in a positive cloud feedback, driven largely by reduced shortwave reflection from marine low clouds (Zelinka et al. 2017, Ceppi & Nowack 2021, Myers et al. 2021).

Another significant cloud feedback stems from the high anvil clouds sitting atop thunderstorms, which tend toward higher altitudes with global warming so as to maintain a fixed cloud-top temperature, a fact known as the fixed anvil temperature or FAT hypothesis (Hartmann & Larson

Water vapor window: thermal infrared wavenumber range of roughly 800–1,200 cm^{-1} in which the atmosphere is largely transparent

Cloud-controlling factors: environmental variables determining cloud radiative effects

FAT hypothesis: fixed anvil temperature hypothesis, which says that the cloud-top temperature of high anvil clouds remains roughly constant under global warming

2002, Thompson et al. 2017). This is interpreted in the conventional formalism as a positive feedback (even though the cloud-top longwave emission is unchanged), which is again an unphysical artifact of the Planck response (Yoshimori et al. 2020). This has motivated an alternative feedback decomposition in which the reference response is simply λ_{LWCS} , reduced by a factor that accounts for the masking of the clear-sky feedback by high clouds, whose thermal emission does not change and that cover a fraction f_{high} of the domain:

$$\lambda_{\text{reference}} = (1 - f_{\text{high}})\lambda_{\text{LWCS}} \quad 16.$$

(Jeevanjee 2023, Stevens & Kluft 2023, McKim et al. 2024).⁹ Such a framework has yet to be fully explored but already has the advantage of encoding our current understanding in the reference response; any additional feedback terms then truly represent processes we do not understand well and that are worth isolating (Roe 2009).

3.5. The Pattern Effect

As mentioned in Sections 1 and 2.1, over the past decade the importance of the spatial pattern of SST changes on climate sensitivity has become apparent, in that λ has been found to depend rather significantly on the pattern of surface temperature change (Armour et al. 2013, Andrews et al. 2015, Gregory & Andrews 2016) (see also Equation 5).

This pattern effect is illustrated in **Figure 2**, which shows the spatial pattern of $\Delta T_s(\mathbf{x})$ and $\Delta N(\mathbf{x})$ (normalized by global mean ΔT_s) over three different periods of the GFDL CM3 simulation from **Figure 1a**. Over years 1–70, the warming is enhanced over land (relative to ocean) and over the Arctic relative to the rest of the globe, with suppressed warming over Antarctica and the Southern Ocean.¹⁰ The later periods, and especially the last, show the Southern Hemisphere catching up, with warming now enhanced over Antarctica and the Southern Ocean. Most models also show enhanced warming over the Eastern Pacific relative to the west in later periods (Andrews et al. 2015), although this effect is not pronounced in CM3.

These variations in the SST pattern of warming give rise to cloud and other feedbacks that grow more positive over time, largely in the tropical Pacific and Southern Oceans (Ceppi & Gregory 2017). Indeed, a trend in Southern Ocean feedbacks can be seen in **Figure 2d–f**. The impact on λ , which is simply the global mean of $\Delta N(\mathbf{x})/\Delta T_s$, can be quite significant; in **Figure 2**, λ varies by a factor of two between the first and last period. This shows that one must distinguish between the equilibrium feedback parameter λ_{eq} that satisfies Equation 6 and a transient feedback parameter λ_{tr} calculated in a transient scenario (Rugenstein & Armour 2021), such as the 1%/year period shown in **Figure 2a,d**.¹¹

A further complication due to the pattern effect is that historical AOGCM simulations are largely unable to match the observed pattern of warming, especially since 1980 or so (Wills et al. 2022). The disagreement in SST patterns is mostly in the Eastern Pacific and Southern Ocean, where as discussed above feedbacks (in particular cloud feedbacks) can vary significantly. Thus,

⁹In this framework, the impact of clouds on λ manifests as changes in cloud radiative effect, which are not the same things as cloud feedbacks (Soden et al. 2004) but have the advantage of being observable (Siebesma et al. 2020).

¹⁰These patterns are hallmarks of global warming and were predicted by our earliest climate models before they were observed, providing confidence in model projections (Manabe & Stouffer 1980, Manabe et al. 1991, Stouffer & Manabe 2017).

¹¹This time dependence of the feedback parameter can equivalently be cast in terms of an ocean heat uptake efficacy, analogous to forcing efficacy where ocean heat uptake is thought of as a forcing on the ocean mixed layer as in **Figure 3** (see Winton et al. 2010, Geoffroy et al. 2013a, Rose & Rayborn 2016).

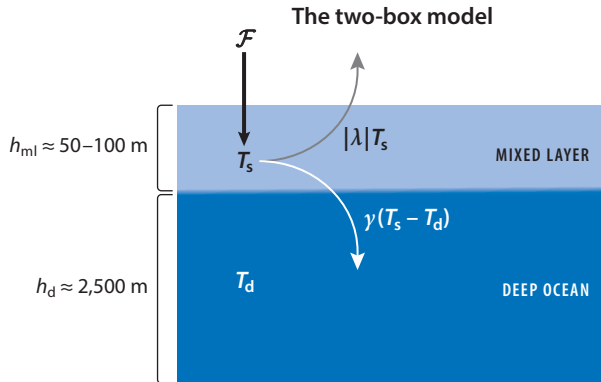


Figure 3

The ocean mixed layer responds to forcing \mathcal{F} with a temperature anomaly T_s , which causes a change $|\lambda|T_s$ in net radiation to space as well as heat export to the deep ocean as $\gamma(T_s - T_d)$. Characteristic values for the mixed layer and deep ocean depths are $h_{ml} \approx 50\text{--}100$ m and $h_d \approx 2,500$ m, where the latter is the globally averaged ocean depth.

the real world can exhibit a historical feedback parameter λ_{hist} that is different from both modeled λ_{tr} and λ_{eq} , purely due to the pattern effect (Andrews et al. 2022, Armour et al. 2024). It is unclear whether the current λ_{hist} is a product of internal variability and might gradually resemble modeled λ_{tr} with time, or if instead the discrepancy between λ_{hist} and modeled λ_{tr} represents basic errors in the transient response of AOGCMs to radiative forcing. This question makes the pattern effect a major source of uncertainty in climate projections and an important problem for the field (Lee et al. 2022, Rugenstein et al. 2023, Watanabe et al. 2024).

4. MIXED-LAYER QUASI-EQUILIBRIUM AND THE TRANSIENT CLIMATE RESPONSE

Having surveyed forcing and feedback, the key ingredients of ECS (Equation 6), we now turn our attention to transient measures of climate sensitivity such as TCR and TCRE. In doing so we also shift our focus somewhat, from reviewing established frameworks and recent work to highlighting ideas and phenomena that call for greater exploration.

As noted in Section 2.3, ocean heat uptake plays a key role in transient climate change. A useful theoretical framework for ocean heat uptake and transient climate change is the two-box model (Held et al. 2010, Geoffroy et al. 2013b), which we introduce here. This model consists of a shallow, mixed-layer ocean of heat capacity C_s ($\text{J/m}^2/\text{K}$) and temperature anomaly T_s coupled to a much larger deep ocean with heat capacity $C_d \gg C_s$ and temperature anomaly T_d . The radiative forcing \mathcal{F} and increased radiation to space $|\lambda|T_s$ are, respectively, absorbed and emanated by the mixed layer, which also exports energy to the deep ocean in linearized form as $\gamma(T_s - T_d)$. Here γ is again the deep ocean heat uptake coefficient in $\text{W/m}^2/\text{K}$. This model is pictured in **Figure 3**, and the corresponding equations are

$$C_s \frac{dT_s}{dt} = \mathcal{F} - |\lambda|T_s - \gamma(T_s - T_d) \quad 17a.$$

$$C_d \frac{dT_d}{dt} = \gamma(T_s - T_d). \quad 17b.$$

MLQE: mixed-layer quasi-equilibrium, in which the forcing, net radiation to space, and deep ocean heat uptake all balance

A key property of these equations is that the response timescales of T_s and T_d differ markedly, due to the difference in C_s and C_d (which stems from the difference in globally averaged mixed layer and deep ocean depth, roughly $b_{ml} \approx 50\text{--}100\text{ m}$ and $b_d \approx 2,500\text{ m}$). Indeed, a preliminary analysis shows that these timescales can be estimated as¹²

$$\tau_s = \frac{C_s}{\gamma + |\lambda|} \approx 3\text{--}6\text{ years} \quad 18a.$$

$$\tau_d = C_d \frac{\gamma + |\lambda|}{\gamma ||\lambda|} \approx 700\text{ years.} \quad 18b.$$

If the system is driven by a forcing $\mathcal{F}(t)$ that is monotonic over timescales longer than τ_s and shorter than τ_d (i.e., multi-decadal), one can make the approximations $C_s = 0$ and $C_d = \infty$, which yield a mixed layer in quasi-equilibrium ($\frac{dT_s}{dt} = 0$) with a deep ocean that has not yet responded ($T_d = 0$). In such a mixed-layer quasi-equilibrium (MLQE), the ocean heat uptake is simply γT_s (as in Equation 7) and Equation 17a implies that the arrows going into and out of the mixed layer in **Figure 3** must balance, hence

$$T_s \approx \frac{\mathcal{F}}{\gamma + |\lambda|} \quad (\text{MLQE}). \quad 19.$$

This simple equation suggests that for transient scenarios in which \mathcal{F} varies on multi-decadal timescales, temperature should be proportional to forcing (Gregory & Forster 2008). This should apply to historical warming as well as 1%/year scenarios and in particular should apply to the definition of TCR as the warming at year 70. Applying Equation 19 to this instance then yields the expression for TCR previously quoted in Equation 8:

$$\text{TCR} \approx \frac{\mathcal{F}_{2\times}}{\gamma + |\lambda|}. \quad 20.$$

If we now combine Equations 19 and 20, we find that we can estimate transient warming in any scenario for which MLQE holds by scaling the TCR by the time-dependent forcing $\mathcal{F}(t)$:

$$T_s(t) \approx \frac{\mathcal{F}(t)}{\mathcal{F}_{2\times}} \text{TCR}. \quad 21.$$

This scaling has been noted before (Gregory & Forster 2008) and utilized in observational estimates of ECS and TCR (e.g., Otto et al. 2013), but its general validity has not been fully examined or emphasized. Here, we illustrate both the proportionality (Equation 19) and the TCR scaling (Equation 21) for the GFDL CM4 coupled model (Held et al. 2019). **Figure 4** scatter-plots annual mean, global mean $\mathcal{F}(t)$ against $T_s(t)$ for various scenarios, including historical runs extended to 2100 via the SSP2-4.5 scenario (O'Neill et al. 2016) with differing forcing agents, as well as for a 1pctCO2 scenario extended to 140 years to quadrupled CO₂. Forcing time series are ERF calculated from fixed-SST simulations (Section 3.1), whereas $T_s(t)$ is drawn from the usual coupled simulations. The straight line in **Figure 4** also shows the scaling (Equation 21), using GFDL CM4's characteristic values of $\mathcal{F}_{2\times} = 4\text{ W/m}^2$ and TCR = 2 K (Winton et al. 2020).

Almost all the simulation data in **Figure 4** collapse onto the same quasi-linear curve, including that from the HIS-AER simulation (aerosol only, green dots), which includes only negative

¹²For a derivation of Equation 18, see Jeevanjee (2023). To evaluate Equation 18, we set $C_s = \rho_w C_w b_{ml}$ and $C_d = \rho_w C_w b_d$, where $\rho_w = 1,024\text{ kg/m}^3$ and $C_w = 3,850\text{ J/kg/K}$ are the density and specific heat of seawater, respectively. We also take typical values of $|\lambda| = 1.3\text{ W/m}^2/\text{K}$ (Sherwood et al. 2020) and $\gamma = 0.7\text{ W/m}^2/\text{K}$ (Geoffroy et al. 2013b).

The proportionality of temperature to forcing in GFDL CM4

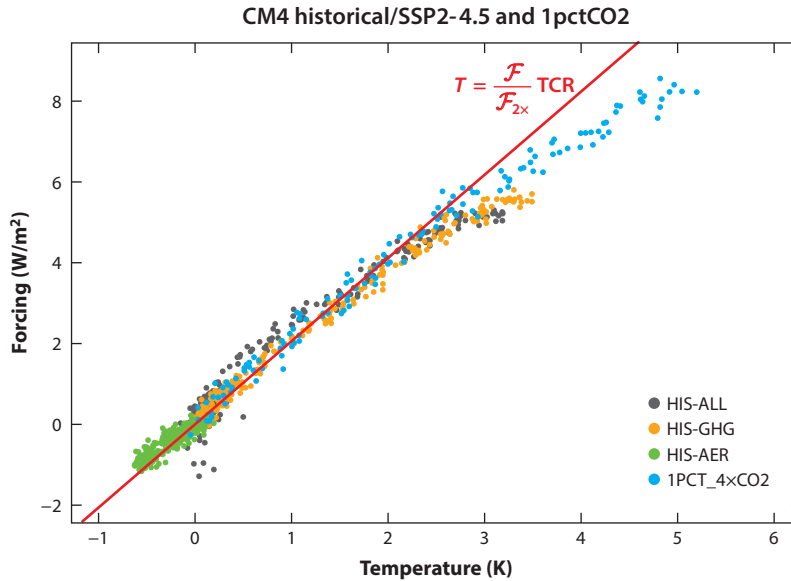


Figure 4

Testing the proportionality of temperature to forcing for GFDL CM4, for variants of the historical/SSP2-4.5 scenario as well as a 1pctCO2 scenario run to 4XCO2 (colored and black dots, annual and global means), along with the scaling (Equation 21) (red line). The HIS-ALL scenario includes all forcing agents (anthropogenic and natural), HIS-GHG includes only greenhouse gases, and HIS-AER includes only aerosol forcing. The scaling (Equation 21) provides a reasonable approximation to the simulated evolution over multi-decadal timescales, with some deviation at later times and larger amounts of warming. Abbreviation: GFDL, Geophysical Fluid Dynamics Laboratory.

forcing and temperature perturbations. Furthermore, the TCR scaling (Equation 21) provides a reasonable estimate of this curve, especially for forcings less than 5 W/m² or so. Beyond that the MLQE approximation breaks down, largely due to the pattern effect and nonnegligible warming of the deep ocean and its impacts on ocean heat uptake (Equation 22) (Gregory et al. 2015).¹³ The scaling (Equation 21) thus suggests that for near-term transient warming, a projection of $\mathcal{F}(t)$ and knowledge of TCR are sufficient to project global warming, underscoring the utility of TCR.

5. ON THE CURVATURE OF OCEAN HEAT UPTAKE AND LIMITATIONS OF THE TWO-BOX MODEL

The last section highlighted the utility of the MLQE approximation and TCR in describing transient warming on multi-decadal timescales. But, the MLQE approximation (Equation 19) assumes $C_d = \infty$ and hence $T_d = 0$, assumptions that must break down over longer timescales. If we instead utilize the deep-layer version of the two-box model (Gregory et al. 2015) in which we keep $C_s = 0$ but now allow C_d to be finite, so that the mixed layer is always in quasi-equilibrium but with a

¹³In the HIS-ALL case, one can also see a few black dots at low T_s values that deviate from the linear scaling; these are precisely the years of large volcanic eruptions whose forcings dissipate on timescales shorter than τ_s and whose climate response is therefore not expected to obey the MLQE relation (Equation 19) (Gregory & Forster 2008).

deep ocean whose temperature T_d is nonzero and evolving, Equation 17a then yields

$$T_s = \frac{\mathcal{F} + \gamma T_d}{|\lambda| + \gamma} \quad (\text{deep-layer model}). \quad 22.$$

OHC: ocean heat content (Joules)

This implies that T_s increases supralinearly with \mathcal{F} , contributing to the curvature in **Figure 4**. Indeed, Geoffroy et al. (2013b) accurately fit the full two-box model (Equation 17) to an ensemble of 150-year abrupt4x and 1pctCO2 simulations, largely capturing the curvature in T_s versus \mathcal{F} (or equivalently time) for the 1pctCO2 simulations. Thus, the full two-box model seems able to accurately capture the evolution of T_d and hence ocean heat uptake in AOGCMs, at least on 150-year timescales.

But the validity of the two-box model on longer timescales remains relatively unexplored. The deep ocean timescales diagnosed by Geoffroy et al. (2013b) of roughly 250 years are short relative to the simple estimate of 700 years from Equation 18b, which may simply be a consequence of fitting to 150-year simulations. Here, we use much longer millennial abrupt4x simulations from LongRunMIP (Rugenstein et al. 2020) to further probe the ability of the two-box model to emulate deep ocean heat uptake.

We aim to compare the predictions of the deep-layer model with LongRunMIP abrupt4x output of T_s and net TOA energy imbalance N . To that end, we note that in the deep-layer model,

$$N = \gamma(T_s - T_d) = C_d \frac{dT_d}{dt}, \quad 23.$$

so the entire global energy imbalance resides in the deep ocean. Integrating Equation 23 over Earth's area and over time then yields two expressions for the total ocean heat content (OHC), in Joules:

$$\text{OHC}(t) = A_{\text{Earth}} \int_0^t N(t') dt' \quad 24a.$$

$$= C_w M_{\text{ocean}} T_d. \quad 24b.$$

Here $A_{\text{Earth}} = 5.1 \times 10^{14} \text{ m}^2$ is the area of Earth, $M_{\text{ocean}} = 1.37 \times 10^{21} \text{ kg}$ is the mass of the world ocean, and $C_w = 3,850 \text{ J/kg/K}$ is the specific heat of seawater. Equation 24a allows us to calculate OHC from simulated N , whereas Equation 24b allows us to relate OHC to the two-box model. Note that in the two-box model under an abrupt4x scenario, the equilibrium values of both T_s and T_d are 2ECS, with a corresponding equilibrium OHC of $\text{OHC}_{\text{eq}} \equiv 2 C_w M_{\text{ocean}} \text{ECS}$ (also see the sidebar titled Differences Between ECS and Interior Ocean Warming).

DIFFERENCES BETWEEN ECS AND INTERIOR OCEAN WARMING

It is notable that for the fully equilibrated simulations in **Figure 5** (CESM1, GISSER2R, and MPI-ESM1.1), the equilibrated OHC is 10–25% less than the ECS-based estimate OHC_{eq} . Because ECS is evaluated using global mean near-surface air temperature, this discrepancy can be attributed to multiple drivers, including land warming more than ocean (Sutton et al. 2007) and oceanic near-surface air warming more than the underlying SSTs (Cowtan et al. 2015). Furthermore, abyssal ocean warming can be suppressed relative to SST warming even in equilibrium by continued deep water formation, which varies across models: Some models show fairly uniform equilibrium warming with depth (Li et al. 2013), while others exhibit significantly suppressed warming at depth, which can be modulated by varying ocean mixing parameters (Krasting et al. 2018).

The curvature of ocean heat uptake

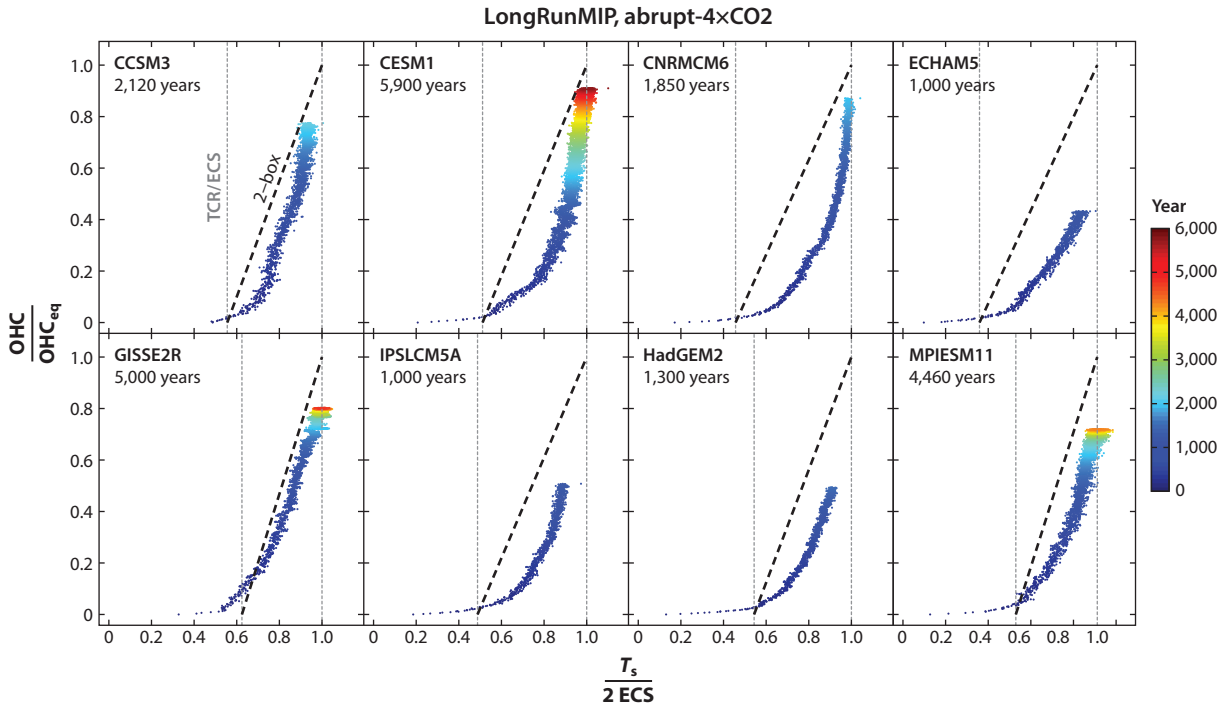


Figure 5

Normalized OHC versus normalized T_s for LongRunMIP abrupt-4xCO₂ simulations from various models (colored dots; colors indicate simulation year per the color bar in the lower right). Also shown is the straight line two-box model prediction (25) (thick dashed line), with x -intercept of TCR/ECS (left-hand thin dashed line in each panel). Many models display significant curvature in this measure of ocean heat uptake, in contrast to the straight line two-box prediction. Abbreviation: OHC, ocean heat content.

Normalizing T_s and OHC by these equilibrium values, differentiating Equation 24b with respect to T_s , and invoking Equations 6, 8, and 22, one obtains after some manipulation

$$\frac{d(\text{OHC}/\text{OHC}_{\text{eq}})}{d(T_s/2\text{ECS})} = \frac{dT_d}{dT_s} = \frac{1}{1 - \frac{\text{TCR}}{\text{ECS}}}. \quad 25.$$

Note that $dT_d/dT_s > 1$ because both T_s and T_d must approach the same value at equilibrium, but T_s gets a head start in the first 5–10 years due to its rapid quasi-equilibration, so T_d must subsequently warm faster than T_s .

Figure 5 shows normalized OHC versus T_s as simulated by various LongRunMIP models, where TCR and ECS values for each model are obtained from the literature (e.g., Meehl et al. 2020, Nijse et al. 2020). For models with runs longer than 4,000 years, however, we take 2ECS as the average T_s over the last 500 years of the run. Equation 25 predicts that normalized OHC versus T_s is a straight line with slope $1/(1 - \text{TCR}/\text{ECS})$, shown in the thick dashed line, with x -intercept of TCR/ECS (left-hand thin dashed line in each panel). While some models have an evolution similar to this straight line (e.g., CCSM3 and GISSER2R), it is evident that many models display significant curvature in their deep ocean heat uptake, including models whose slope of simulated OHC versus T_s can be much steeper than the two-box prediction (e.g., CNRMCM6).

In such models, the deep ocean can warm with very little impact on T_s (as also found in, e.g., Li et al. 2013, Krasting et al. 2018). It would be of interest to see if diffusive models (e.g., Harvey 2018) or the more elaborate model of Marshall & Zanna (2014) is better able to capture this phenomenon.¹⁴ In either case, the diversity of this ocean heat uptake curvature among models and its general incongruence with the two-box model indicate that there is still much to learn about ocean heat uptake.

6. UNDERSTANDING TRANSIENT CLIMATE RESPONSE TO CUMULATIVE EMISSIONS AND ZERO EMISSIONS COMMITMENT

We now turn to the two final rungs of **Table 1** concerning the sensitivity of Earth's climate to CO₂ emissions rather than concentrations.

Recall from Section 2.4 that the TCRE is defined as the ratio of surface temperature change T_s to cumulative emissions C_{emit} , and that constant TCRE actually follows from ZEC = 0 by Equation 11, assuming system linearity. **Figure 1b** showed that T_s is indeed proportional to C_{emit} in GFDL ESM4.1 for a given emissions scenario of $E = 14$ GtC/year; now we examine whether this holds true for additional emissions scenarios of 10 GtC/year and 1pctCO₂. (In the latter simulation, emissions are adjusted online to ensure that CO₂ concentrations rise as prescribed.)

Figure 6a shows the emissions trajectories $C_{\text{emit}}(t)$. The corresponding temperature trajectories $T_s(t)$, shown in **Figure 6c**, track the emissions trajectories quite closely. Accordingly, plotting T_s versus C_{emit} in **Figure 6d** then collapses the various scenarios onto a fairly straight line whose slope is the TCRE of roughly 1.4 K/(1,000 GtC) (cf. **Figure 1b**).

Why does this collapse occur? From Equation 19 and **Figure 4**, we already expect a rough proportionality between temperature and forcing. How does this extend to a proportionality between temperature and (cumulative) emissions? The ratio of CO₂ concentrations appearing in the CO₂ forcing expression (Equation 12) can be related to cumulative emissions C_{emit} as

$$\frac{q_f}{q_i} = \frac{C_0 + \alpha C_{\text{emit}}}{C_0}, \quad 26.$$

where $C_0 = 590$ GtC is the preindustrial atmospheric CO₂ mass¹⁵ and α is the airborne fraction, i.e., the fraction of C_{emit} that still resides in the atmosphere at a given time. The airborne fraction is thus the key degree of freedom relating C_{emit} and forcing, and hence it is a key parameter in determining TCRE. Indeed, if we calculate TCRE at the time of doubling in an ESM 1pctCO₂ simulation (Gillett et al. 2013), then $T_s = \text{TCR}$ and $\alpha C_{\text{emit}} = C_0$ and we have

$$\Lambda = \frac{\alpha}{C_0} \text{TCR}. \quad 27.$$

This formula, also recorded in **Table 1**, shows that TCRE is determined directly by α and TCR. Equation 27 was also used by the IPCC to estimate Λ : Indeed, invoking their central estimates of TCR = 1.8°C and $\alpha = 0.53$ yields a value almost identical to their previously quoted value of $\Lambda = 1.65$ K/(1,000 GtC) (Canadell et al. 2021).

Returning to the question of the collapse in **Figure 6d**, we substitute Equation 26 into the forcing expression (Equation 12) and substitute that into the approximate TCR scaling

¹⁴Note that the pattern effect cannot account for this curvature, as Equation 25 shows that $|\lambda_{\text{eq}}| < |\lambda_{\text{tr}}|$ makes TCR/ECS smaller and hence the slope dT_d/dT_s shallower, not steeper. On another note, it is worth remarking that diffusive models of ocean heat uptake also support the scaling (Equation 21); see https://www.gfdl.noaa.gov/blog_held/51-the-simplest-diffusive-model-of-oceanic-heat-uptake-and-tcr/.

¹⁵Obtainable as the preindustrial CO₂ concentration of 278 ppm multiplied by a standard conversion factor of 2.124 GtC/ppm (Friedlingstein et al. 2020).

Constancy of TCRE across scenarios in GFDL ESM4

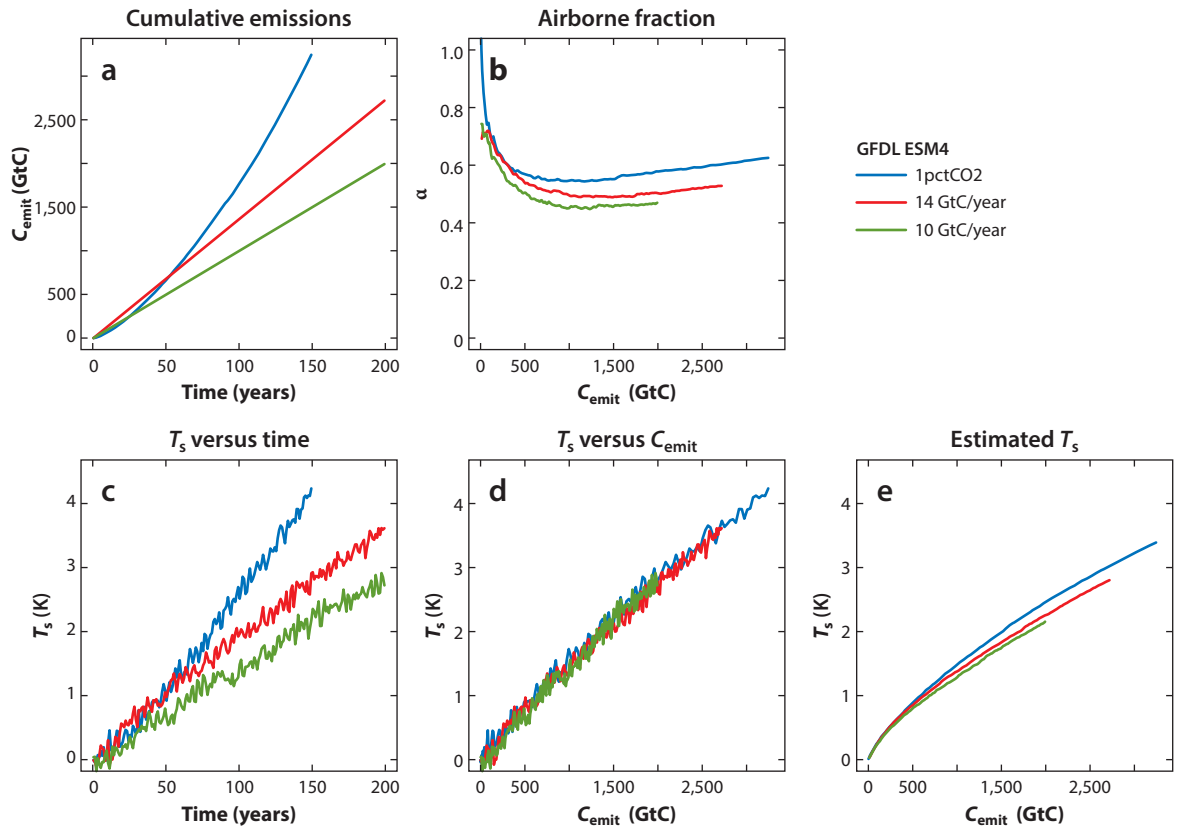


Figure 6

(a) Cumulative emissions C_{emit} versus time for three scenarios (legend in upper right). (b) Airborne fraction α as a function of C_{emit} . (c) Temperature anomaly T_s versus time. (d) T_s versus C_{emit} . (e) T_s as estimated from Equation 28. Temperature anomalies collapse when plotted as a function of C_{emit} in panel d. This collapse is roughly reproduced by the estimated T_s in panel e, thanks largely to airborne fractions that do not vary dramatically in time or across scenario (panel b).

(Equation 21), yielding

$$T_s \approx \frac{\ln \left(1 + \alpha \frac{C_{\text{emit}}}{C_0} \right)}{\ln 2} \text{TCR} \quad 28.$$

(Allen & Stocker 2014). This estimate is shown in **Figure 6e**, computed using $\alpha(t)$ from **Figure 6b**. The estimated T_s curves (**Figure 6e**) resemble the simulated ones (**Figure 6d**) and also collapse onto a common curve (albeit with more spread). According to Equation 28, this rough collapse can be traced to the relative invariance of the airborne fraction α (**Figure 6b**), which exhibits typical values of ~ 0.5 with variations of only $\pm 10\%$ or so across time or emissions scenarios.¹⁶

While Equation 28 is thus helpful in explaining the collapse of $T_s(C_{\text{emit}})$, the logarithmic dependence on C_{emit} seems to contradict the linearity of T_s with C_{emit} (Equation 9). Indeed,

¹⁶Note also that the fractional spread in estimated $T_s(C_{\text{emit}})$ in **Figure 6e** is somewhat smaller than the fractional spread in airborne fraction in **Figure 6b**, due to the logarithm in Equation 28.

concavity from the logarithm is clearly evident in **Figure 6e**. Somehow, the logarithmic curvature in Equation 28 ends up being rectified in the simulated $T_s(C_{\text{emit}})$ in **Figure 6d**. But we already saw in Equation 22 and **Figure 4** that deep ocean warming contributes to curvature between \mathcal{F} and T_s , and this curvature ends up canceling the logarithmic curvature, yielding a fairly linear $T_s(C_{\text{emit}})$ (MacDougall & Friedlingstein 2015, MacDougall 2017).¹⁷

The constancy of TCRC across time and scenarios thus seems to result from a few fortuitous coincidences. This suggests that this constancy might fail under more extreme circumstances, such as widely varying emissions rates (Krasting et al. 2014) and/or strong carbon-climate feedbacks, such as permafrost carbon release (MacDougall & Friedlingstein 2015). The constancy of TCRC also seems to hinge critically on the behavior of the airborne fraction, which in ESM4 displays muted time and scenario dependence. These characteristics of α have been observed before (e.g., Gregory et al. 2009, Krasting et al. 2014, MacDougall & Friedlingstein 2015) but have been only sporadically studied (Raupach 2013, Seshadri 2017).

Finally, how does the explanation for the constancy of TCRC given above square with the discussion in Section 2.4, which derives a constant TCRC as a consequence of ZEC and linearity of the system? The same logic that led to Equation 28 can also be used to derive an analogous expression for the long-term ZEC shown in **Figure 1b**, normalized by the temperature when emissions are zeroed out T_{ze} (Tarshish et al. 2023):

$$\frac{\text{ZEC}}{T_{ze}} = \frac{\ln\left(1 + \frac{\alpha_f C_{\text{emit}}}{C_0}\right) \text{ECS}}{\ln\left(1 + \frac{\alpha_{ze} C_{\text{emit}}}{C_0}\right) \text{TCR}} - 1. \quad 29.$$

Here the airborne fraction again plays a key role, this time in the form of the final airborne fraction¹⁸ α_f and the airborne fraction when emissions are zeroed α_{ze} . Taylor-expanding for intuition yields

$$\frac{\text{ZEC}}{T_{ze}} = \frac{\alpha_f / \alpha_{ze}}{\text{TCR} / \text{ECS}} - 1. \quad 30.$$

In this approximation (also recorded in **Table 1**), normalized ZEC results from the balance between chemical disequilibrium α_f / α_{ze} when emissions are zeroed and the thermal disequilibrium TCR / ECS (Ehlert & Zickfeld 2017, Allen et al. 2022, Tarshish et al. 2023): If the chemical disequilibrium is greater ($\alpha_f / \alpha_{ze} < \text{TCR} / \text{ECS}$), then CO_2 forcing will decline more than ocean heat uptake as the system equilibrates, leading to post-emissions cooling. Conversely, a greater thermal disequilibrium ($\text{TCR} / \text{ECS} < \alpha_f / \alpha_{ze}$) will lead to post-emissions warming.

Evidently, $\text{ZEC} \approx 0$ results from these disequilibria taking on similar values. Similar to the constancy of TCRC, this seems not to be guaranteed but rather to result somewhat by chance [and indeed, some models do exhibit nonnegligible ZEC (Frölicher et al. 2014, MacDougall et al. 2020)]. Whether the coincidences behind $\text{ZEC} \approx 0$ and constant TCRC are the same or different remains to be clarified. The assumption of system linearity underlying Equation 11 also deserves scrutiny; relevant nonlinearities such as the logarithmic dependence of forcing on CO_2 concentration as well as carbon-climate feedbacks certainly exist, but whether they add up to sufficient nonlinearity to break the connection (Equation 11) between ZEC and constant TCRC is unclear.

¹⁷A related body of literature suggests that carbon-climate feedbacks associated with ocean carbon uptake also play a key role in this rectification (Goodwin et al. 2015, Williams et al. 2016), but how this relates to the behavior of the airborne fraction is at present unclear (MacDougall 2016).

¹⁸The final airborne fraction can be evaluated analytically using the buffered carbon inventory approximation of Goodwin et al. (2007), yielding estimates of around 0.2 (Tarshish et al. 2023). This estimate is in good agreement with carbon cycle models (e.g., Archer & Brovkin 2008).

7. OUTLOOK

We have now surveyed the climate sensitivity hierarchy of **Table 1** in some detail. In addition to reviewing progress in our understanding of forcing and feedback (Section 3), we have emphasized that:

- The TCR is broadly applicable to contemporary climate change via Equation 21, thanks to MLQE (Section 4).
- The shape of deep ocean heat uptake varies among models, in ways that the two-box model cannot account for (Section 5).
- ZEC and constant TCRE are equivalent in the limit of system linearity (Equation 11), but nonlinearities and apparent coincidences also play a role, complicating our understanding of these quantities (Section 6).

A heretofore undiscussed aspect of **Table 1** is the column of analytical expressions, which shows that each sensitivity measure encompasses the previous ones. The forcing and feedback from ECS are also part of the TCR; TCR is then itself part of TCRE. These sensitivity measures are thus all interconnected, and in particular, uncertainties in a given measure then propagate down to more complex measures. For example, uncertainties in TCR and ECS are relevant for uncertainty in ZEC (Jones & Friedlingstein 2020, Matthews et al. 2020).

Another aspect highlighted by this column is the introduction of additional key parameters as one increases complexity. Moving from ECS to TCR introduces the heat uptake coefficient γ ; moving again from TCR to TCRE then introduces the airborne fraction α . This simple observation suggests a path forward for our efforts: Just as much was learned through intense focus on $\mathcal{F}_{2\times}$ and λ , future progress may lie in a similar focus on γ and α . Despite the demonstrable relevance of TCR, TCRE, and ZEC for twenty-first-century climate change, there has been a relative dearth of research on understanding the heat uptake coefficient and airborne fraction. For instance, while we have analytical models that encapsulate some basic understanding of $\mathcal{F}_{2\times}$ and λ (Equations 12 and 15) (see also Koll et al. 2023), no such understanding exists for γ . Recent work is beginning to generate new insight (e.g., Liu et al. 2023, Newsom et al. 2023, Gregory et al. 2024), but much more is needed to even out our understanding. This is all the more true for airborne fraction, where uncertainties in future land carbon uptake are notoriously large (Arora et al. 2020, Jones & Friedlingstein 2020) and theoretical efforts have been few (Raupach 2013, Seshadri 2017).

As for ECS, given the complications of studying ECS in AOGCMs (Section 2.2), it may be worth returning to the practice of simulating ECS with SOMs. Admittedly, the assumption of fixed ocean heat flux convergence can be an unnatural one, particularly in regions of sea-ice retreat. But from a global mean point of view focused on climate sensitivity, SOMs provide a convenient method for assessing changes in ECS due to incremental model development (Gettelman et al. 2012, 2019) and are no less accurate at estimating ECS than extrapolation methods (Dunne et al. 2020b). Indeed, it may even be appropriate to reconsider ECS as primarily a property of SOMs, useful as a modeling benchmark and an overall measure of global warming, rather than as a fundamental property of the Earth system that climate science must accurately pin down (see discussion in Knutti & Rugenstein 2015, Dunne et al. 2020b, Meehl et al. 2020).

Finally, it is interesting to note that while TCR has a precise definition as the warming around year 70 of 1pctCO₂ simulations, and ECS has a precise definition (at least in principle) as equilibrium warming due to a doubling of CO₂, the same is not true for TCRE and ZEC. Standard scenarios for calculating them have not been agreed upon, nor has the timescale over which ZEC is assessed, so at present it is not possible to speak of *the* TCRE or ZEC for a given model.

The holistic view of climate sensitivity here presents a gauntlet for climate science; it has been challenging enough to narrow the error bars on purely atmospheric forcing and feedback, let alone

add in interactions with the ocean and carbon cycle. But for climate sensitivity to be relevant as we project the future and assess the feasibility of our climate targets, such a holistic understanding seems to be required.

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The authors are not aware of any affiliations, memberships, funding, or financial holdings that might be perceived as affecting the objectivity of this review.

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